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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/575,336

04/07/2006

Hironori Kobayashi

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EXAMINER

DOLLINGER, MICHAEL M

ART UNIT

PAPER NUMBER

1796

MAIL DATE

DELIVERY MODE

09/29/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/575,336	Applicant(s) KOBAYASHI ET AL.	
	Examiner MICHAEL DOLLINGER	Art Unit 1796	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____. |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>04/07/2006</u> . | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takei et al (US 5,391,664) in view of Kumagai et al (US 6,710,181 B2)

3. Takei et al disclose polyurethanes derived from a hydroxyl functional polymer (A) and a polyfunctional isocyanate compound (g) wherein the molar ratio NCO/OH is preferably in the range of from 0.8 to 1.2 [column 15 lines 62-68]. The polymer (A) contains vinyl-based monomers (a) including (meth)acrylic and acid alkyl (meth)acrylates [column 5 line 27] and perfluoroethylene [column 5 line 57]. The polyfunctional isocyanates include tolylene diisocyanate (TDI), diphenylmethane 4,4'-diisocyanate, hexamethylene diisocyanate (HDI), and isophorone diisocyanate [column 15 lines 30-38], as well as biurets including SUMIDUR N series [column 15 lines 38-40]. The reaction mixture may also include polyols other than polymer (A) including polyether polyol and polyester polyol [column 15 lines 3-9]. The polyurethane resins may be used for applications such as waterproof for paint film [column 19 line 26-27] or for a paint compositions [column 19 line 65 through column 20 line 5], adhesives [column 19 lines 27-28], a urethane foam [column 19 lines 31-32], and a thermosetting or thermoplastic type elastomer [column 19 lines 33-34].

Art Unit: 1796

4. Takei et al do not disclose the use of a silane coupling agent containing an imidazole group.

5. Kumagai et al disclose resin additive formed from the reaction of an imidazole [column 2 formula (1)] and a silane compound with a glycidoxy groups [column 3 formula (2)]. An example of the silane compound 3-glycidoxypropyltrimethoxysilane [column 4 line 42]. Kumagai et al teach that resin additives may be used with polyurethane resins [column 5 lines 59] and fluororesin [column 5 line 65] in an amount of preferably 0.1 to 20 parts by weight per 100 parts by weight of the resin [column 5 lines 43-47]. Kumagai et al also teach that the resin additives improve resin strength [column 5 lines 53-56] and adhesion to metals such as copper, steel or aluminum or an inorganic material such as glass fiber, silica, aluminum oxide or aluminum hydroxide [column 2 lines 22-26].

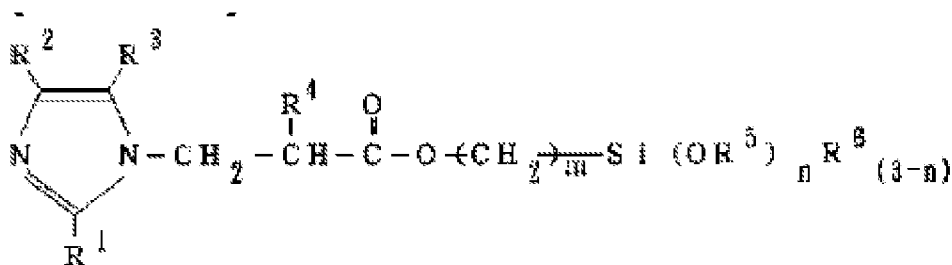
6. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have combined an imidazole containing silane coupling agent with a polyol and polyisocyanate containing resin composition because Takei et al teach that it is within the skill of the art to make polyurethane compositions from a polyol and a polyisocyanate and Kumagai et al teach that it is within the skill of the art to combine a polyurethane with a resin additive obtained from reacting an imidazole with a silane compound containing a glycidoxy group. One would have been motivated to do this because Kumagai et al teach that the silane coupling agent improves adhesion to various materials and also improves mechanical strength of the resin. This is combining prior art elements according to known methods to yield predictable results. Absent any

Art Unit: 1796

evidence to the contrary, there would have been a reasonable expectation of success in achieving improved adhesion and mechanical strength in a polyurethane resin by adding a silane coupling agent reacted with an imidazole compound.

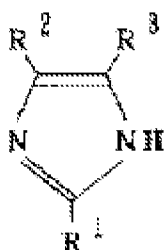
7. Claims 1-3 and 5-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takei et al (US 5,391,664), discussed above, in view of Kumagai (JP 2000-297094 A).

8. Kumagai disclose a resin additive for improving mechanical strength of a resin and the adhesion to metal or glass fibers [0001] of the formula (1):

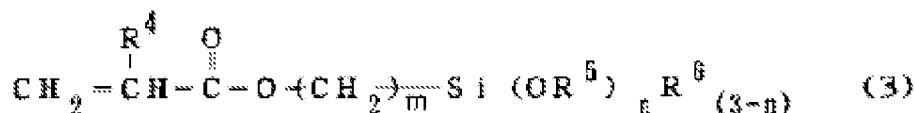


(1) [0008]

obtained by reacting an imidazole of formula (2) with an acrylic silane of formula (3):



(2)



[0011].

Art Unit: 1796

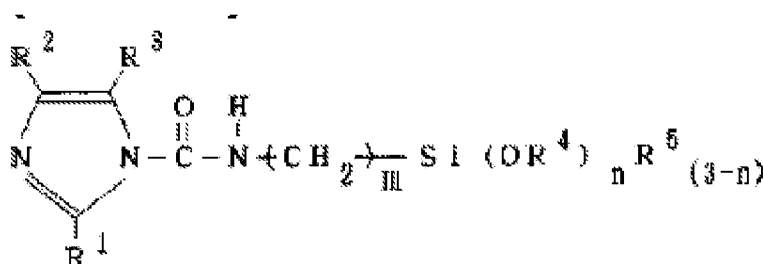
Kumagai teaches that the resin additive should be used in an amount of 0.001 to 50 parts by weight per 100 parts by weight of resin [0021]. Kumagai also teaches that the resin additive improves adhesion properties of the resin to metals [0028].

9. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have combined an imidazole containing silane coupling agent with a polyol and polyisocyanate containing resin composition because Takei et al teach that it is within the skill of the art to make polyurethane compositions from a polyol and a polyisocyanate and Kumagai teaches that it is within the skill of the art to produce a resin additive obtained from reacting an imidazole with an acrylic silane compound. One would have been motivated to do this because Kumagai teaches that the silane coupling agent improves adhesion to various materials and also improves mechanical strength of the resin. This is combining prior art elements according to known methods to yield predictable results. Absent any evidence to the contrary, there would have been a reasonable expectation of success in achieving improved adhesion and mechanical strength in a polyurethane resin by adding a silane coupling agent reacted with an imidazole compound.

10. Claims 1-3 and 5-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takei et al (US 5,391,664), discussed above, in view of Kumagai (JP 2000-297093 A).

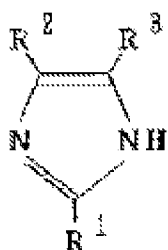
Art Unit: 1796

11. Kumagai disclose a resin additive for improving mechanical strength of a resin and the adhesion to metal or glass fibers [0001] of the formula (1):



(1) [0008]

obtained by reacting an imidazole of formula (2) with a silane compound with an isocyanate group of formula (3):



(2)



(3)

[0011].

Kumagai teaches that the resin additive should be used in an amount of 0.001 to 50 parts by weight per 100 parts by weight of resin [0021]. Kumagai also teaches that the resin additive improves adhesion properties of the resin to metals [0028].

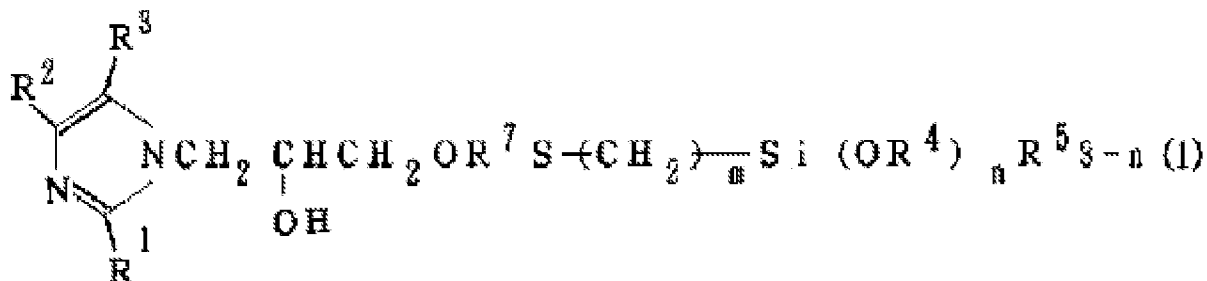
12. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have combined an imidazole containing silane coupling agent with a polyol and polyisocyanate containing resin composition because Takei et al teach that it is within the skill of the art to make polyurethane compositions from a polyol and a

Art Unit: 1796

polyisocyanate and Kumagai teaches that it is within the skill of the art to produce a resin additive obtained from reacting an imidazole with a silane compound containing an isocyanate group. One would have been motivated to do this because Kumagai teaches that the silane coupling agent improves adhesion to various materials and also improves mechanical strength of the resin. This is combining prior art elements according to known methods to yield predictable results. Absent any evidence to the contrary, there would have been a reasonable expectation of success in achieving improved adhesion and mechanical strength in a polyurethane resin by adding a silane coupling agent reacted with an imidazole compound.

13. Claims 1-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takei et al (US 5,391,664), discussed above, in view of Kumagai et al (JP 11-092482 A).

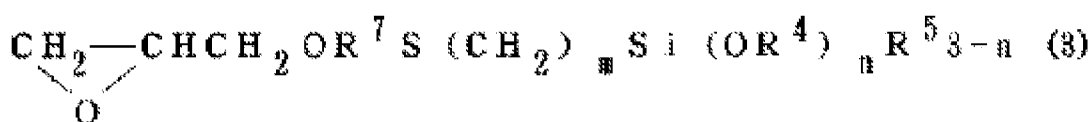
14. Kumagai et al disclose a resin additive for improving mechanical strength of a resin and the adhesion to metal or glass fibers [0001] of the formula (1):



[0008]

Art Unit: 1796

obtained by reacting an imidazole of formula (2) with a silane compound with an epoxy group of formula (3):



Kumagai et al teach that the resin additive should be used in an amount of 0.001 to 50 parts by weight per 100 parts by weight of resin [0021]. Kumagai et al also teach that the resin additive improves adhesion properties of the resin to metals [0029].

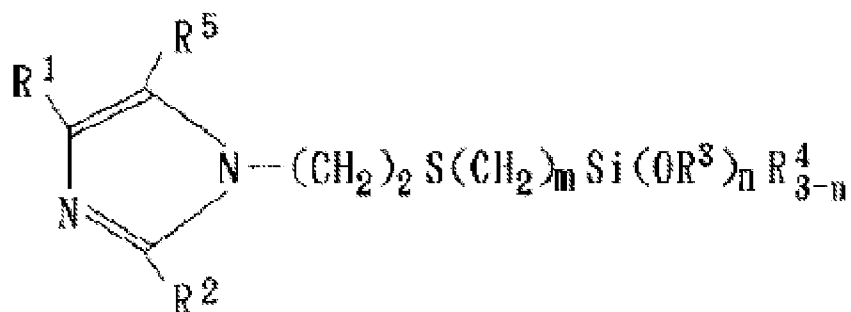
15. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have combined an imidazole containing silane coupling agent with a polyol and polyisocyanate containing resin composition because Takei et al teach that it is within the skill of the art to make polyurethane compositions from a polyol and a polyisocyanate and Kumagai et al teach that it is within the skill of the art to produce a resin additive obtained from reacting an imidazole with a silane compound containing an epoxy group. One would have been motivated to do this because Kumagai teaches that the silane coupling agent improves adhesion to various materials and also improves mechanical strength of the resin. This is combining prior art elements

Art Unit: 1796

according to known methods to yield predictable results. Absent any evidence to the contrary, there would have been a reasonable expectation of success in achieving improved adhesion and mechanical strength in a polyurethane resin by adding a silane coupling agent reacted with an imidazole compound.

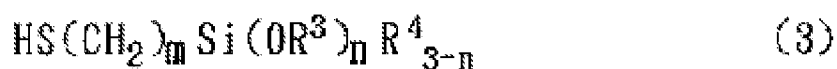
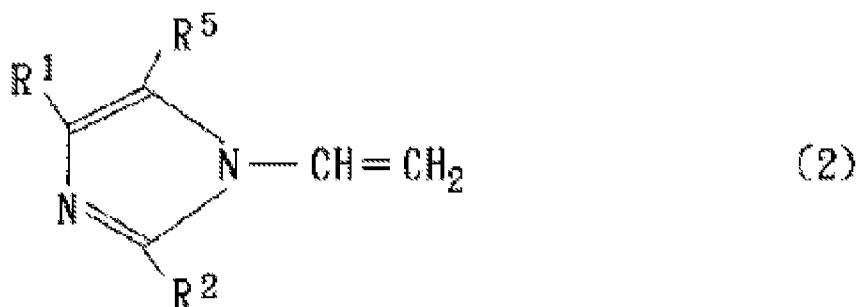
16. Claims 1-3 and 5-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takei et al (US 5,391,664), discussed above, in view of Tsuchida et al (JP 09-295992 A).

17. Tsuchida et al disclose a resin additive for improving mechanical strength of a resin and the adhesion to metal or glass fibers [0001] of the formula (1):



(1) [0009]

obtained by reacting an imidazole of formula (2) with a silane compound with a mercapto group of formula (3):



[0011].

Tsuchida et al teach that the resin additive should be used in an amount of 0.001 to 50 parts by weight per 100 parts by weight of resin [0021]. Tsuchida et al also teach that the resin additive improves adhesion properties of the resin to metals [0024].

18. It would have been obvious to one having ordinary skill in the art at the time the invention was made to have combined an imidazole containing silane coupling agent with a polyol and polyisocyanate containing resin composition because Takei et al teach that it is within the skill of the art to make polyurethane compositions from a polyol and a polyisocyanate and Tsuchida et al teach that it is within the skill of the art to produce a resin additive obtained from reacting an imidazole with a silane compound containing a mercapto group. One would have been motivated to do this because Tsuchida et al teach that the silane coupling agent improves adhesion to various materials and also improves mechanical strength of the resin. This is combining prior art elements according to known methods to yield predictable results. Absent any evidence to the contrary, there would have been a reasonable expectation of success in achieving

Art Unit: 1796

improved adhesion and mechanical strength in a polyurethane resin by adding a silane coupling agent reacted with an imidazole compound.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL DOLLINGER whose telephone number is (571)270-5464. The examiner can normally be reached on Monday - Thursday 7:30AM-6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Randy Gulakowski can be reached on 571-272-1302. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/575,336

Page 12

Art Unit: 1796

/Randy Gulakowski/
Supervisory Patent Examiner, Art Unit 1796

MICHAEL DOLLINGER
Examiner
Art Unit 1796

/mmd/